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Art Unit: 2879

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From: Winston Hsu, Registration No. 41,526

Serial No.: 10/711,257

Attorney Docket No.: HANP0004USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 14 pages (including cover page)

Winston Hsu 2005/08/03

HANP0004USA0_D1_1

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To: 00215712738300

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PTO/SB/97 (09-04)
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Certificate of Transmission under 37 CFR 1.8 I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office 08/03/2005 Date Cindy Lin Typed or printed name of person signing Certificate Voice Mail: 302-729-1562 Registration Number, if applicable Telephone Number Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper. Application No: 10/711,257 (1) Transmittal Form 1 PAGE 1 PAGE (2) Fee Transmittal 10 PAGES (3) Information Disclosure Statement

This collection of information is required by 97 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		Application Number	10/71	1,257			
TRANSMITTAL		Filing Date	09/05	/2004			
FORM		First Named Inventor	Chi-J	en Huar	ng		
		Art Unit	2879				
(to be used for all correspondence after inl	ial films)	Examiner Name	GUH.	ARAY, H	(ARABI		
Total Number of Pages In This Submission	12	Attorney Docket Number	HAN	20004U	SA		
	ENC	LOSURES (Check a	III that app	ly)	·		
Fee Transmittal Form Fee Attached		Drawing(s) Licensing-related Papers			ppeal Communication to TC		
Amendment/Repty After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Ramar	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocat Change of Correspondence Ferminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on Coks	Address		ppeal Communication to TC hppeal Notice, Brief, Reply Brief) reprietary information tatus Letter ther Enclosure(s) (please identify elow):		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
North America Intellectual Property Corporation							
Signature (Vicintain + ton)							
Printed name Winston Hsu							
Date 08/03/2005	08/03/2005 Reg. No. 41,526			41,526			
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Typed or printed name				D	ate		

This collection of information is required by 37 CFR 1.5. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. The will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office. U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTC/SB/17 (12-04)
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U.S. Patent and Tradsmark Office; U.S. DEPARTMENT OF COMMERCE Under the Penerwork Reduction Act of 1995, on persons are required to respond to a collection of information unless it displays a valid QMB control number Effective on 12/08/2004. Complete if Known Fees pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818). Application Number 10/711.257 FEE TRANSMIT 09/05/2004 Filing Date For FY 2005 First Named Inventor Chi-Jen Huang **Examiner Name GUHARAY, KARABI** Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2879 TOTAL AMOUNT OF PAYMENT (\$) 0.00HANPO004USA Attorney Docket No. METHOD OF PAYMENT (check all that apply) Money Order None Credit Card Other (please identify): Deposit Account Name: North America Intellectual Property Corp. Deposit Account Deposit Account Number: 50-3105 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) ✓ Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES FILING FEES** SEARCH FEES Small Entity Small Entity Small Entity Fees Paid (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 200 150 500 250 100 Design 200 100 130 100 65 50 Plant 200 300 100 150 160 80 Reissue 300 500 600 150 250 300 **Provisional** 200 0 0 100 n O 2. EXCESS CLAIM FEES Small Entity Fee (8) Fee Description Fee (\$) 50 Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 Multiple dependent claims 180 Total Claims Extra Claims Fee (\$) Fee Pald (\$) Multiple Dependent Claims - 20 or HP = Fee (\$) Fee Paid (\$) HP > highest number of total claims paid for, if greater than 20 Extra Claims Fee (\$) Fee Paid (\$) Indep. Claims - 3 or HP HP = highest number of independent claims paid for, if greater than 3 APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof **Total Sheets** Extra Sheets Fee (\$) Fee Paid (\$) /50 = - 100 = (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other: submission of Information Disclosure Statement 0.00 SUBMITTED BY Registration No. Wenton Laur Telephone 302-729-1562 Signature 41,526 (Attorney/Agent) Winston Hsu Date 08/03/2005 Name (Print/Type)

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comment on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO	Complete if Known		
stage for form 14497-10	Application Number	10/711,257	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	09/05/2004	
	First Named Inventor	Chi-Jen Huang	
	Art Unit	2879	
(Use as many sheets as necessary)	Examiner Name	GUHARAY, KARABI	
	Attorney Docket Number	HANDOOMUSA	

Examiner	1 644		Publication Date	Patentee or Patentee or	Pages, Columns, Lines, Where
initiats*	Cite No.	Document Number Number-Kind Code ^{2 (* Incomb)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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	FORE	IGN PATENT DOCL	IMENTS		
Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Where Relevant Passages	
	Country Code ³ "Number ⁴ "Kind Code ⁸ (# known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
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	Cita No.1	Cite Foreign Patent Document Country Code ³ "Number * Kind Code ⁸ (# known)	Cite Foreign Patent Document Publication Date MM-DD-YYYY Country Code ³ 'Number ⁴ 'Kind Code ⁸ (# known)	No.1 Date Applicant of Cited Document MM-DD-YYYY Country Code ³ "Number ⁴ " Kind Gode ¹ (# known)	Cita Foreign Patent Document Publication Name of Patentee or Applicant of Cited Document Pages, Columns, Linos, Where Relevant Passages Or Relevant Passages Or Relevant Passages Or Relevant Figures Appear

Examiner Date Signature Considered			
Considered	Examiner	Date	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optionel). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this bunden, should be sent to the Chief Information Officer, U.S. Patent and Traderink Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chi-Jen Huang, Hsin Chieh Lai.

Appl. No.: 10/711,257 Filing Date: 09/05/2004

Examiner: GUHARAY, KARABI Art Unit: 2879

Docket No.: HANPOOO4USA

Title: CLAMP STRUCTURE OF AN EXTERNAL ELECTRODE LAMP

To: Commissioner for Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

Subject: Information disclosure statement under 37 CFR \$1.56

Dear Sir,

This is an Information Disclosure Statement in accordance with the duty to disclose information material to patentability under 37 CFR \$1.56. The applicant wishes to make of record the document(s) listed on the accompanying form PTO/SB/08.

Since this IDS is filed before the mailing date of the first Office action, consideration of the information disclosure statement is hereby requested according to 37 CFR \$1.97(b).

That each item of information contained in the information disclosure statement was first cited in an Office communication mailed on <u>July 4, 2005</u> for the counterpart Taiwan patent application number <u>093120462</u>, which are no more

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than three months prior to the filing of the information disclosure statement.

According to the requirement set forth in 37 CFR \$1.98(a)(2), the applicant is submitting a copy of the cited Japanese patent JP P2003-92004A (published March 28, 2003). In accordance with MPEP 609 III A(3), an English-language equivalent application may submitted to fulfill the requirement for a concise explanation οf relevance. Accordingly, English-language equivalent US patent US 2003/0035283 Al of the Japanese patent JP P2003-92004A is hereby fulfill the 37 \$1.98(a)(3) presented to CFR requirement.

It is respectfully requested that the examiner can consider the document(s) listed on the accompanying form PTO/SB/08 and that it be made of record in the application. The applicants sincerely hope that the examiner initials the cited reference(s) on the form and that a copy of the initialed form be sent to the applicants with the next communication from the examiner.

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Respectfully submitted,

Wenton bars

Date: August 3, 2005

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

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